



## Melanie Mayo West, Esq.

General Counsel & Chief Compliance Officer

Started in the  
Investment Industry: 1995

Joined LSIA: 2015

As LSIA's General Counsel & Chief Compliance Officer, Melanie helps ensure our firm meets its legal and federal regulatory requirements. She has a thorough knowledge and understanding of all investment advisor regulations and how they affect our firm, business, operations and clients. Further, she is responsible for assessing risks within the firm, preparing policies and procedures and ensuring LSIA has in place appropriate supervision and controls. Melanie also monitors ongoing legislative developments, and their potential impact on LSIA, and acts as general counsel to the firm regarding all legal and compliance matters.

Melanie's legal career includes almost 11 years at Munder Capital Management, a large, investment advisory firm based in Birmingham, Michigan, where she served as both Associate General Counsel (2000-2010), providing legal and regulatory advice relating to all areas of the firm's business, and as Assistant to the President (2011), consulting on strategic business decisions. Prior to joining LSIA, Melanie was Chief Compliance Officer for a small, Detroit-based investment advisor (2014), where she provided counsel on a variety of compliance, investment, client servicing and operational matters, and Principal Counsel to the Treasurer for the Maryland Office of the Attorney General (2011-2013), where she advised the Maryland State Treasurer and staff on legal issues relating to the procurement of financial services and issuance of State debt.

Following graduation from law school, Melanie was an Associate with several Detroit area law firms (1995-2000), including Dykema Gossett PLLC, where she provided legal advice on a variety of investment industry regulatory matters. She began her investment industry career as a Marketing Analyst with First Boston Asset Management (1989-1990). Melanie is also active in her community, where she currently serves on the Board of Directors for Temple Shir Shalom.

*Our guidance. Your peace of mind.*

### EDUCATION AND ACCREDITATIONS

JD - University of Michigan

MBA - University of Michigan

AB - University of Michigan

### PROFESSIONAL AFFILIATIONS

Michigan State Bar Association

Maryland State Bar Association